STATE OF CALIFORNIA

BUSINESS, TRANSPORTATION AND HOUSING AGENCY DEPARTMENT OF CORPORATIONS

TO: Adventus Capital 5478 Wilshire Blvd., Suite 203 Los Angeles, CA 90036

DESIST AND REFRAIN ORDER (For violations of California Corporations Code sections 29520 and 29535)

The California Corporations Commissioner finds that:

- Adventus Capital is a business entity whose legal status is unknown. Adventus
 Capital's last known address is 5478 Wilshire Blvd., Suite 203, Los Angeles, CA 90036. Adventus
 Capital maintains an Internet website at www.forextradingpro.com.
- 2. Beginning in at least May 2005, and continuing thereafter, Adventus Capital, by means of telemarketing phone calls and through its website, solicited investors to invest with Adventus Capital for the purpose of FOREX spot trading [foreign currency trading in the over the counter foreign exchange market]. Sales people representing Adventus Capital told investors that they were experts in FOREX trading with contacts in Hong Kong, London and Europe. On its website, Adventus Capital states that it intends to expand to offer options contracts in the FOREX markets and spot trading and options contracts for precious metals.
- 3. Foreign currencies purchased or sold, or offered to be purchased or sold, under commodity contracts are commodities within the meaning of Section 29504 of the California Commodity Law of 1990.
- 4. Adventus Capital managed the investors' FOREX trading accounts and acted as the investors' commodity trading advisor with discretionary trading authority. Adventus Capital charged the investors' accounts \$50.00 commission per trade.
- 5. Adventus Capital is a commodity merchant as defined in section 29509 of the California Commodity Law of 1990.

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Based upon the foregoing findings, the California Corporations Commissioner is of the opinion that Adventus Capital sold or purchased, or offered to sell or purchase, commodities under commodities contracts, or offered to enter into, or entered into, as sellers or purchasers of commodity contracts. Further, the California Corporations Commissioner is of the opinion that Adventus Capital engaged in business or acted as a commodity merchant without being registered with the Commodity Futures Trading Commission in violation of section 29535 of the California Commodity Law of 1990. Pursuant to Section 29542 of the California Commodity Law of 1990, Adventus Capital is hereby ordered to desist and refrain from the further sale or purchase or offer to sell or purchase any commodity under any commodity contract or under any commodity option, or offer to enter into, or enter into, as seller or purchaser, any commodity contract or any commodity option, in the State of California, unless and until such activity is not in violation of Corporations Code section 29520. Pursuant to Section 29542 of the California Commodity Law of 1990, Adventus Capital is hereby ordered to desist and refrain from engaging in business or otherwise acting as a commodity merchant unless and until they are in compliance with Corporations Code Section 29535. This Order is necessary, in the public interest, for the protection of investors and is consistent

with the purposes, policies and provisions of the California Commodity Law of 1990.

Dated: September 20, 2006 PRESTON DuFAUCHARD Los Angeles, CA California Corporations Commissioner

> By ALAN S. WEINGER Supervising Attorney

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